1734

IPE JC176 JAN 10 2002 PATENT & TRADEMARK OFFICE

RECEIVED JAN 15 2002 TC 1700

Attorney's Docket No.: 4728.P043 Patent

In re the Application of: Yu-Yang Chang et al.
(inventor(s))

Application No.: 09/779,295

Filed: February 7, 2001

For: METHOD OF IMPROVING FIELD EMISSION EFFICIENCY FOR FABRICATING CARBON NANOTUBE FIELD EMITTER
(title)

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

_____ Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

_____ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

__X__ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) Claims Remaining After Amd.		(Col. 2) Highest No. Previously Paid For	(Col. 3) Present Extra	SMALL ENTITY Rate	SMALL ENTITY Additional Fee	OTHER THAN A SMALL ENTITY Rate	OTHER THAN A SMALL ENTITY Additional Fee
Total Claims	* 7	Minus	** 20	0	X9	$	X18	$
Indep. Claims	* 1	Minus	*** 3	0	X39	$	X78	$
[ ]	**First Presentation of Multiple Dependent Claim(s)**				**+130**	**$**	**+260**	**$**
					Total Add. Fee	$	Total Add. Fee	$ 0.00

* If the entry in Col. 1 is less than the entry In Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on December 14, 2001.
Date of Deposit

Sharon E. Farnus
Name of Person Mailing Correspondence

Sharon Farnus 12/14/01
Signature Date

(LJV/cak 11/30/98)

_____ A check in the amount of $_____ is attached for presentation of additional claim(s).
_____ Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to 37 C.F.R. § 1.136(a).
_____ A check for $_____ is attached for processing fees under 37 C.F.R. § 1.17.
_____ Please charge my Deposit Account No. 02-2666 the amount of $_____.
A duplicate copy of this sheet is enclosed.
__X__ The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 **(a duplicate copy of this sheet is enclosed)**:
__X__ Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.
__X__ Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: 12/14/07

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(U.E.)

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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Y. Chang et al.

Serial No. 09/779,295

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Examiner: M. Mayes

Art Unit: 1734

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Box Non-fee Amendment
Assistant Commissioner for Patents
Washington, DC 20231

AMENDMENT A AND RESPONSE

In response to the first Office Action dated September 14, 2001, please amend the above-identified application to read as follows:

In the Specification

Page 1

On line 15, please change "containing" to --contains--;

Page 2

On line 8, please add a space after "-";

Page 3

On line 3, please change "user" to --using--;

On line 9, please delete "a" before "rather";

On line 15 please change "hat" to --that--;